Numerical Simulation of Zener Pinning with Growing Second-Phase Particles

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The Zener pinning effect with growing second-phase particles in Al₂O₃-ZrO₂ composite systems were studied by two-dimensional (2-D) computer simulations using a diffuse-interface field model. In these systems, all second-phase particles are distributed at grain corners and boundaries. The second-phase particles grow continuously, and the motion of grain boundaries of the matrix phase is pinned by the second-phase particles which coarsen through the Ostwald ripening mechanism, i.e., long-range diffusion. It is shown that both matrix grains and second-phase particles grow following the power-growth law, \( R_m^n - R_m^n = kt \) with \( m = 3 \). It is found that the mean size of the matrix phase \( D \) depends linearly on the mean size of the second-phase particles \( r \) for all volume fractions of second phase from 10% to 40%, which agrees well with experimental results. It is shown that \( D/r \) is proportional to the volume fraction of the second phase \( f \) as \( f^{-1/2} \) for a volume fraction less than 30%, which agrees with Hillert and Srolovitz's predictions for 2-D systems, while experimental results from 2-D cross sections of three-dimensional (3-D) Al₂O₃-rich systems showed that either a \( f^{-1/2} \) or a \( f^{-1/3} \) relation might be possible. It is also found that \( D/r \) is not proportional to \( f^{-1/2} \) and \( f^{-1} \) in 2-D simulations, which suggests that the Zener pinning effect can be very different in 2-D and 3-D systems.

I. Introduction

Zener pinning is a phenomenon in which second-phase particles retard the coarsening of a matrix phase (grain growth) by pinning the motion of grain boundaries. Since controlling grain size is a critical issue for the processing and application of advanced materials, the inhibition of grain growth by second-phase particles has been extensively studied. A detailed theoretical treatment of Zener pinning is very complicated, and a number of approximations have to be introduced.

Zener first derived an analytical model of inhibition of grain growth for three-dimensional (3-D) system by assuming that second-phase particles were spherical, monosized, randomly distributed, and did not coarsen. The grain growth in matrix phase will be stopped and the final grain size is determined by

\[
\frac{D}{r} = \frac{4}{3f}
\]

where \( D \) is the mean grain size of the matrix phase, \( r \) is the size of second-phase particles, and \( f \) is the volume fraction of second-phase particles. In a more precise calculation, Hellman and Hillert showed that the relation should be \( D/r = 8f^{0.93} \) for \( f \) less than 0.1. However, they indicated that, for a volume fraction \( f \) larger than 0.1, the relation should be

\[
\frac{D}{r} = \frac{3.6}{f^{1/3}}
\]

for 3-D systems when most of the particles are located at grain corners and boundaries. This prediction seems to be supported by the experimental results from different two-phase ceramic systems, in which the pinning relationship for a variety of zirconia- or alumina-based ceramic composites \( f < 0.15 \) has been found to be consistent with \( 1/f^{1/3} \) while the constant for this relation was found to be 0.75 in these systems, smaller than 3.6 in Eq. (2).

Srolovitz et al. on the other hand, showed that in two-dimensional (2-D) systems \( D/r \) should be proportional to \( 1/f \), the same as Eq. (1), if particles are randomly distributed. By assuming that grain growth will stop when there is one particle on each one of the six boundaries of an average grain and all the particles are distributed at grain boundaries, they stated that, in two dimensions,

\[
\frac{D}{r} = \frac{3.46}{f^{1/2}}
\]

which is different from that for 3-D systems (Eqs. (1) and (2)). Their 2-D Monte Carlo simulations supported this analysis. A similar relation of \( D/r \) with \( 1/f^{1/2} \) was also obtained by Doherty et al. for 3-D systems by assuming all particles are in contact with grain boundaries.

The above formulations were obtained by introducing a considerable number of approximations, such as simple geometry (spherical or circle) and monosized particles, and the Q-states Potts model simulations considered only small and immobile second-phase particles which cannot coarsen. While, in real materials, the geometry of particles can be complicated, and if the two phases have limited mutual solubilities, the second-phase particles will grow dynamically as time increases. Therefore, the main purpose of this paper is to examine the relationship between \( D/r \) and the volume fraction of a second phase in two-phase systems when the second-phase particles can coarsen.

We employed a diffuse-interface computer simulation model for studying the microstructural evolution in two-phase polycrystalline materials. One of the main advantages of this model is that the complexity of microstructural evolution...
and long-range diffusion in two-phase materials can be automatically taken into account. We employed the well-studied Al₂O₃–ZrO₂ two-phase composite systems as a model system to study the Zener pinning effect, since many thermodynamic and kinetic data are available for these systems. The detailed computer simulations of microstructural evolution in Al₂O₃–ZrO₂ two-phase composites have been previously reported. We will focus on examining the relationship of the Zener pinning effect on matrix grain size with dynamically growing second-phase particles and comparing simulation results with theoretical analyses.

II. The Diffuse-Interface Field Model

Details about this model have been reported in previous papers and hence only a brief account of the model will be given here. To describe an arbitrary two-phase polycrystalline microstructure, we define a set of continuous field variables where ψα(i = 1, . . . , p) and ψβ(j = 1, . . . , q) are called orientation field variables, with each orientation field representing a given crystallization orientation of a given phase (denoted as α or β). Those variables change continuously in space and assume continuous values ranging from −1.0 to 1.0. C(r) is the composition field within a matrix grain and Ca and Cb within a β grain. The total free energy of a two-phase polycrystal system, F, is then written as

\[
F = \int \left[ f_\alpha(C(r)\psi_\alpha(r), \psi_\alpha^0(r), \psi_\beta(r), \psi_\beta^0(r), \psi_\gamma(r)) \right. \\
\left. + \frac{\kappa_C}{2} [\nabla C(r)^2] + \sum_{i=1}^{p} \frac{\kappa_\alpha^i}{2} [\nabla \psi_\alpha^i(r)^2] + \sum_{j=1}^{q} \frac{\kappa_\beta^j}{2} [\nabla \psi_\beta^j(r)^2] \right] d^3r
\]

where \( \nabla C, \nabla \psi_\alpha, \text{and} \nabla \psi_\beta \) are gradients of concentration and orientation fields, \( \kappa_C \), \( \kappa_\alpha \), and \( \kappa_\beta \) are the corresponding gradient energy coefficients, \( f_\alpha \) is the local free energy density, which is, in this work, assumed to be \( f_\alpha = f(C) + \sum_{i=1}^{p} f(C, \psi_\alpha^i) + \sum_{j=1}^{q} f(C, \psi_\beta^j) \) in which

\[
\begin{align*}
\alpha & \quad f(C) = -(A/2)(C - C_\alpha)^2 + (B/4)(C - C_\mu)^4 + (D_\alpha/4)(C - C_\alpha)^4 + (D_\beta/4)(C - C_\beta)^4 \\
\beta & \quad f(C, \psi_\alpha^i) = -(\gamma_\alpha/2)(C - C_\alpha)^2(\psi_\alpha^i)^2 + (\delta_\alpha/4)(\psi_\alpha^i)^4 \\
\gamma & \quad f(C, \psi_\beta^j) = -(\gamma_\beta/2)(C - C_\beta)^2(\psi_\beta^j)^2 + (\delta_\beta/4)(\psi_\beta^j)^4 \\
\gamma & \quad f(\psi_\alpha^i, \psi_\beta^j) = (\epsilon_{\alpha\beta}/2)(\psi_\alpha^i)^2(\psi_\beta^j)^2
\end{align*}
\]

where \( C_\alpha \) and \( C_\beta \) are the equilibrium compositions of α and β phases, \( C_\mu = (C_\alpha + C_\beta)/2 \), \( A \), \( B \), \( D_\alpha \), \( D_\beta \), \( \gamma_\alpha \), \( \gamma_\beta \), \( \delta_\alpha \), \( \delta_\beta \), and \( \epsilon_{\alpha\beta} \) are phenomenological coefficients. The justification of using such a free-energy model in the study of coarsening was previously discussed.

The temporal evolution of the field variables is described by the time-dependent Ginzburg–Landau (TDGL) equations.

\[
\begin{align*}
\frac{d\psi_\alpha^0(r,t)}{dt} &= -L_\alpha^0 \frac{\delta F}{\delta \psi_\alpha^0(r,t)} & i = 1, 2, \ldots, p \\
\frac{d\psi_\beta^j(r,t)}{dt} &= -L_\beta^j \frac{\delta F}{\delta \psi_\beta^j(r,t)} & j = 1, 2, \ldots, q
\end{align*}
\]

\( L_\alpha^0 \) and \( L_\beta^j \) are kinetic coefficients related to grain boundary mobilities and atomic diffusion coefficients, \( t \) is time, and \( F \) is the total free energy given in Eq. (5).

III. Numerical Methodology

The microstructural evolution of a two-phase system can be simulated by solving coupled kinetic Eq. (7). To numerically solve the set of kinetic equations, one needs to discretize them with respect to space. We discretize the Laplacian using the following approximation:

\[
\nabla^2 \phi = \frac{1}{(\Delta x)^2} \left[ \sum_{j} (\phi_{j+1} - \phi_j) + \sum_{j} (\phi_{j-1} - \phi_j) \right]
\]

where \( \phi \) is any function, \( \Delta x \) is the grid size, \( j \) represents the set of first nearest neighbors of \( i \), and \( j' \) is the set of second nearest neighbors of \( i \). For discretization with respect to time, we employed the following simple Euler technique:

\[
\phi(i + \Delta t) = \phi(i) + \frac{d\phi}{dt} \Delta t
\]

where \( \Delta t \) is the time step for integration. All the results discussed below were obtained by using \( \Delta x = 2.0, \Delta t = 0.1 \) to ensure the numerical stability. The kinetic equations are discretized using 512 × 512 points with periodic boundary conditions applied along both directions. The total number of orientation field variables for two phases is 30.

In the Al₂O₃–ZrO₂ systems, it was reported that the ratio of the grain boundary energy to the interphase energy for the Al₂O₃ phase (denoted as α phase) is \( R_\alpha = \sigma_{\alpha\beta}/\sigma_{\alpha\alpha} = 1.4 \), and that for the ZrO₂ phase (denoted as β phase) is \( R_\beta = \sigma_{\alpha\beta}/\sigma_{\beta\beta} = 0.97 \). We assumed isotropic grain boundary and interphase boundary energies. It is found that parameters \( \Delta = 2.0, B = 0.05, \gamma_\alpha = 0, \gamma_\beta = 0, \delta_\alpha = \delta_\beta = 0, \epsilon_\alpha = 0 \), \( \kappa_\alpha = 1.5, \kappa_\beta = 2.5 \) and \( \epsilon_{\alpha\beta} = 0.5 \) give the correct grain boundary to interphase boundary ratio for the Al₂O₃–ZrO₂ system. We also assumed that both phases have the same diffusivity and grain boundary mobility.

All the kinetic data and size distributions were obtained using 512 × 512 grid points and averaged from three independent runs. There are more than 2700 grains at the beginning of collecting data for calculating the statistics and there are about 200 at the end. To generate the initial two-phase microstructure, a single-phase grain growth simulation was first performed to obtain a fine-grain structure. Grains are then randomly assigned with the equilibrium composition \( C_a \) or \( C_b \) and an orientation field, keeping the overall average composition corresponding to the desired equilibrium volume fractions. The grain area was measured by counting all points within a grain, and the grain size \( R \) was calculated by assuming area \( A = \pi R^2 \).

IV. Simulation Results and Discussion

The details of kinetics and microstructural evolution in ZrO₂–Al₂O₃ two-phase composites have been previously discussed. Six representative simulated two-phase microstructures at six different volume fractions of the ZrO₂ phase are shown in Fig. 1. In these microstructures, ZrO₂ grains are bright and Al₂O₃ grains are gray. It can be seen that simulated microstructures have a striking resemblance to those of experimental observations. All the main features of coupled grain growth and Ostwald ripening, observed experimentally, are predicted by the computer simulations. For example, at a low
The volume fraction of ZrO$_2$ phase, the particles of ZrO$_2$ phase are located mainly at trijunctions and grain boundaries of Al$_2$O$_3$ grains, and the coarsening of these particles is controlled by the Ostwald ripening process; i.e., relatively large particles grow at the expense of smaller ones by long-range diffusion. The motion of grain boundaries of Al$_2$O$_3$ grains is essentially pinned by the ZrO$_2$ particles, and the grain size of Al$_2$O$_3$ grains is fixed by the locations and distributions of ZrO$_2$ particles. During microstructural evolution or coarsening, all second-phase particles are in contact with grain boundaries.

The time dependencies of the average grain size in the 10% ZrO$_2$ system with the initial microstructure generated from a fine-grain structure are shown in Fig. 2 for Al$_2$O$_3$ (α-phase) and in Fig. 3 for ZrO$_2$ (β-phase). In these plots, the dotted lines are the data measured from the simulated microstructures, and the solid lines are the nonlinear fits to the power growth law $R_m^i - R_m^0 = kt$ with three variables $m$, $k$, and $R_0$.

![Fig. 1. Typical simulated microstructures in Al$_2$O$_3$–ZrO$_2$ systems with different volume fractions of ZrO$_2$ phase: (a) 30%; (b) 50%; (c) 60%; (d) 70%; (e) 80%; (f) 90%. System size is 512 × 512. ZrO$_2$ grains are bright and Al$_2$O$_3$ grains are gray.](image1)

![Fig. 2. Time dependence of the average grain size of Al$_2$O$_3$ (α) phase. The volume fraction of ZrO$_2$ phase is 10%. $R_α = 1.4$, $R_β = 0.97$. The dots are the measured data from simulated microstructures. The solid line is a nonlinear fit to the power growth law $R_m^i - R_m^0 = kt$ with three variables $m$, $k$, and $R_0$.](image2)

![Fig. 3. Time dependence of the average grain size of ZrO$_2$ (β) phase. The volume fraction of ZrO$_2$ phase is 10%. $R_α = 1.4$, $R_β = 0.97$. The dots are the measured data from simulated microstructures. The solid line is a nonlinear fit to the power growth law $R_m^i - R_m^0 = kt$ with three variables $m$, $k$, and $R_0$.](image3)
composites. They showed that a good linear relationship between the average alumina grain size ($D$) with the mean zirconia particle size ($r$) is maintained at all zirconia contents (10% ~ 40%), as shown in Fig. 4. The ratio of the alumina/zirconia grain size ($D/r$) is constant at a given volume fraction of zirconia phase, $^{19}$ which does not change with sintering time and temperature. They found that the ratio $D/r$ decreases with increasing volume fraction of the zirconia phase, and the ratios observed are 4.6, 3.5, and 2.1 for 10%, 20%, and 40% of zirconia phase, respectively. The relationship of $D/r$ with growing zirconia particles, from computer simulations, is shown in Fig. 5, for alumina–zirconia composites with 10%, 20%, and 40% of zirconia. It can be seen that all features observed experimentally have been predicted from computer simulations. It is clear that a good linear relationship exists between $D$ and $r$ at all zirconia volume fractions, and the ratio $D/r$ decreases as the volume fraction of zirconia increases. From computer simulations, the ratios $D/r$ are predicted as 4.4, 3.1 and 1.6, for 10%, 20%, and 40% of zirconia, respectively. The agreement between computer simulations and experimental results is surprisingly good, considering the assumptions we made in the simulations and the fact that we only fitted the data of grain boundary energies and interfacial energy.

To compare the simulation results with analytical solutions (Eqs. (1)–(3)), we plot the matrix grain size ($D$) against the size ($r$) of second-phase particles, which is normalized by an appropriate form of volume fraction. For example, to examine if $D/r$ has a relationship with volume fraction as $1/f^{1/3}$, we plot $D$ against $r/f^{1/3}$ for different volume fractions. It is obvious that if that relation is obeyed, a common slope (or constant $A$) should be found for different volume fractions of second phase, which satisfies the equation $D = Ar/f^{1/3}$. Figures 6 and 7 show the simulation results for the relationships of matrix grain size $D$ with second-phase particle size $r$ normalized with $1/f^{1/3}$ for the Al$_2$O$_3$-rich and the ZrO$_2$-rich systems, respectively. In these plots, the volume fraction of second phase (ZrO$_2$ or Al$_2$O$_3$) varies from 10% to 40%. It can be seen that the slopes of these linear relations at different volume fractions are different for both Al$_2$O$_3$-rich and ZrO$_2$-rich two-phase systems, indicating that there is no unique $A$ which can be found to satisfy the relation $D = Ar/f^{1/3}$ for different volume fractions. This suggests that $D/r$ is not proportional to $1/f^{1/3}$ in these 2-D two-phase systems. However, experimental results$^{8}$ showed that the relation $D = Ar/f^{1/3}$ is followed in zirconia- or alumina-based ceramic composites with $f < 0.15$. Hence, the relation $D = Ar/f^{1/3}$ may apply only to 3-D systems.

The relation $D = Ar/f^{1/3}$ is examined from simulations for Al$_2$O$_3$-rich systems and ZrO$_2$-rich systems in Figs. 8 and 9, respectively. It is clear that this relation is not obeyed in small volume fraction systems. However, it is interesting to notice that in high volume fraction systems (30% and 40% second phase) a fairly good relation might be found for both Al$_2$O$_3$-rich and ZrO$_2$-rich systems. The Al$_2$O$_3$ phase is the matrix phase.

Fig. 6. Simulation results for the relations of matrix grain size $D$ with the second-phase particle size $r$ normalized with $1/f^{1/3}$ for Al$_2$O$_3$–ZrO$_2$ two-phase systems. The Al$_2$O$_3$ phase is the matrix phase.
particles at a boundary proportional to the particle radius and a random particle distribution. However, this is not the case in these simulations of high volume fraction systems, in which all second-phase grains stay at grain boundaries and become interpenetrated at 40%. Liu and Patterson²⁰,²¹ modified the Zener’s equation in 2-D by accounting for the nonrandomness of the particle distribution. By defining a parameter \( R = \frac{f_{gb}}{f} \), the degree of contact between grain boundaries and second-phase particles, where \( f_{gb} \) is the area fraction of second phase at the grain boundaries and \( f \) the total fraction, they obtained \( D/r = \pi/4Rf \). The \( R \) value, which varies among material systems, and with volume fraction, particle size, etc.²⁰,²¹ was not calculated in current simulations. Therefore, whether 2-D \( \text{Al}_2\text{O}_3-\text{ZrO}_2 \) systems follow this equation remains to be more carefully examined.

Figures 10 and 11 show the simulated relations of the matrix grain size \( D \) with the second-phase particle size \( r \) normalized with \( 1/f^1/2 \) for \( \text{Al}_2\text{O}_3-\text{ZrO}_2 \) two-phase systems. It is found that the relation \( D = Arr^1/2 \) is followed reasonably well for volume fractions of second phases equal or less than 30%, with the constant \( A \) values being 1.32 for \( \text{Al}_2\text{O}_3 \)-rich systems and 1.27 for \( \text{ZrO}_2 \)-rich systems. These \( A \) values are much smaller than 3.4, which was obtained by Srolovitz et al.⁵ for small and immobile particles. The smaller \( A \) values mean a smaller matrix grain size at a certain size of second-phase particles. Therefore, the pinning effect of growing second-phase particles is much stronger than that in systems with
small and noncoarsening particles. The main reason for the larger pinning effect in the studied composite systems is that it is almost impossible for grain boundaries to pass through second-phase particles in these long-range diffusion-controlled systems. It is also interesting to notice that the difference of grain boundary energies in alumina and zirconia does not affect the relationship of Zener pinning in these simulations. Even though the coarsening rate for alumina and zirconia matrix can be slightly different, both Al$_2$O$_3$-rich and ZrO$_2$-rich two-phase systems show identical relationships of Zener pinning in these simulations. When the volume fraction of second phase is larger than 40%, the relation $D^4/f^{1/2}$ is not followed anymore, which may result from the fact that at this volume fraction the second-phase grains become interconnected in a two-phase microstructure.

To compare the 2-D simulation results with experimental observations, we re-plot the experimental data (Fig. 4, adapted from Ref. (19)) with the normalized second-phase (zirconia) grain size in Figs. 12, 13, and 14. It should be noted that these experimental data were obtained from the 2-D cross sections of 3-D microstructures, which may be different from 2-D systems. It is quite clear that the relation $D = Arf^{1/2}$ is not followed by those experimental systems either. While there may not be enough evidence to conclude that the relation $D = Arf^{1/3}$ is not obeyed in those systems ($f < 10\%$), it is obvious that $D = Arf^{1/2}$ fits these experimental data better for volume fractions between 10% and 20%. Since most of the second-phase grains are distributed at grain boundaries, it seems that those experimental results agree with the analysis by Doherty et al. for 3-D systems, in which a $D = Arf^{1/2}$ relation is predicted by assuming all particles are in contact with grain boundaries. As mentioned before, in zirconia- or alumina-based ceramic composites, a $D = Arf^{1/3}$ relation was observed for $f < 0.15$. Therefore, it can be seen that Zener pinning effect may depend on the dimensionality, volume fraction, and distribution of second-phase particles.

V. Conclusions

The Zener pinning effect with growing second-phase particles in the Al$_2$O$_3$–ZrO$_2$ two-phase composites has been studied through computer simulations using a diffuse-interface field model. The simulated microstructures are in excellent qualitative agreement with experimental observations for Al$_2$O$_3$–ZrO$_2$ two-phase composites. It is found that the coarsening kinetics for both phases are controlled by long-range diffusion and follow the power growth law $R^n - R_0^n = kt$ with $m = 3$, while the kinetic coefficient $k$ for the second-phase particles is much smaller than that of the matrix phase. A linear relation between matrix grain size ($D$) and second-phase grain size ($r$) is found for all volume fractions of second phase, which agrees with experimental results. The $D/r$ ratios are dependent on the volume fraction of the second phase and are predicted as 4.4, 3.1, and 1.6 for 10%, 20%, and 40% of zir-
conia, respectively, which are very close to experimental observations. It is found that the relationship between matrix grain size and second-phase grain size follows $D = Ar/f^{1/2}$ in the 2-D simulations when the volume fraction of the second phase is less than 30%. The $1/f^{1/3}$ and $1/f$ relationships are not observed in these 2-D two-phase simulation systems with dynamically growing second-phase particles. Comparison with experimental results shows that the Zener pinning effect may depend on the dimensionality, volume fraction, and distribution characteristics of the second-phase particles.

References


